



**ANNEXURE O**

**TRANSMISSION FORM**

**DP ID -302269**

**Date -----**

**To,**  
India Infoline Ltd.  
IIFL House, Sun Infotech Park,  
Road No. 16V, Plot No. B-23, MIDC,  
Thane Industrial Area,  
Wagle Estate, Thane - 400 604

**(1) I/We, the undersigned, being the**

- |   |  |
|---|--|
| <input type="checkbox"/> Executor(s) of the Will        | <input type="checkbox"/> Legal heir(s)   |
| <input type="checkbox"/> Administrator(s) of the Estate | <input type="checkbox"/> Joint Holder(s) |
| <input type="checkbox"/> Successor(s) to the Estate     | <input type="checkbox"/> Nominee         |
| <input type="checkbox"/> Survivors of HUF               |  |

Of Mr/ Mrs/Ms. \_\_\_\_\_ and

Mr./Mrs./Ms \_\_\_\_\_ and

Mr/Mrs/Ms \_\_\_\_\_

the deceased, of which \*nomination / probate/ letter of administration / succession certificate was duly granted to me / us on the \_\_\_\_\_ day of \_\_\_\_\_ of \_\_\_\_\_ hereby request you to register me/us as the beneficial owner(s) in respect of the securities standing in the name of the said deceased under Client ID \_\_\_\_\_ DP ID \_\_\_\_\_

the details of which are as follows:

ISIN	Name of Company	No. of securities
As per annexure attached		

**(2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:**

Name	Client ID	DP ID

**(3) List of Documents enclosed (for Individual accounts) (tick as applicable):**

**A) For surviving holder(s) in a joint account**

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

**B) For nominee of the deceased:**

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

**C) For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs.1 lakh on the date of application**

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer

Indemnity

Affidavit

No objection certificate(s)

Family Settlement Deed [*as an alternate to No objection certificate(s)*]

**D) For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was Rs. 1 lakh or more on the date of application**

Copy of death Certificate duly attested by a Notary Public or by a Gazetted Officer

Succession certificate

Letter of Administration

Probate of the Will

**(4) Declaration:**

*(Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 1 Lakh on the date of application)*

We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:

Sr. No.	Name of the legal heir(s)	Specify whether a claimant or given a No Objection Certificate

**(5) List of Documents enclosed (for HUF accounts) (tick as applicable):**

**A** Value of holding not exceeding Rs.10 lakh on the date of application. [Where there is an objection from any member of HUF, documents mentioned at **(B)** below must be submitted]

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted officer

Indemnity

Affidavit

**B** Value of holding was Rs. 10 lakh or more on the date of application.

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted officer

Court Decree

Deed of Partition

**C** Separation/ partition of HUF:

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer

Settlement Deed

Deed of Partition

Court Decree

**(6) Signatures:**

Sr. No.	Name of claimant	Signature of claimant
		➤
		➤
		➤

**Notes:**1. This request form should be signed by the surviving joint holder(s)/ legal heir(s)/ legal representative(s)/ nominee / all surviving members of the HUF, as the case may be. 2. \* Strike off whichever is not applicable.